

Inversion of maize leaf nitrogen using UAV hyperspectral imagery in breeding fields

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Abstract: Nitrogen (N) as a pivotal factor in influencing the growth, development, and yield of maize. Monitoring the N status of maize rapidly and non-destructive and real-time is meaningful in fertilization management of agriculture, based on unmanned aerial vehicle (UAV) remote sensing technology. In this study, the hyperspectral images were acquired by UAV and the leaf nitrogen content (LNC) and leaf nitrogen accumulation (LNA) were measured to estimate the N nutrition status of maize. 24 vegetation indices (VIs) were constructed using hyperspectral images, and four prediction models were used to estimate the LNC and LNA of maize. The models include a single linear regression model, multivariable linear regression (MLR) model, random forest regression (RFR) model, and support vector regression (SVR) model. Moreover, the model with the highest prediction accuracy was applied to invert the LNC and LNA of maize in breeding fields. The results of the single linear regression model with 24 VIs showed that normalized difference chlorophyll (NDchl) had the highest prediction accuracy for LNC (R^2 , RMSE, and RE were 0.72, 0.21, and 12.19%, respectively) and LNA (R^2 , RMSE, and RE were 0.77, 0.26, and 14.34%, respectively). And then, 24 VIs were divided into 13 important VIs and 11 unimportant VIs. Three prediction models for LNC and LNA were constructed using 13 important VIs, and the results showed that RFR and SVR models significantly enhanced the prediction accuracy of LNC and LNA compared to the multivariable linear regression model, in which RFR model had the highest prediction accuracy for the validation dataset of LNC (R^2 , RMSE, and RE were 0.78, 0.16, and 8.83%, respectively) and LNA (R^2 , RMSE, and RE were 0.85, 0.19, and 9.88%, respectively). This study provides a theoretical basis for N diagnosis and precise management of crop production based on hyperspectral remote sensing in precision agriculture.

Keywords: maize, nitrogen, hyperspectral imagery, vegetation index, UAV, random forest regression, support vector regression

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1 Introduction

Maize (*Zea mays* L.) is a globally cultivated staple food crop^[1], boasting extensive planting areas and high yields^[2]. As reported by China Information News, the maize planting area in China reached 43.07 million hm² in 2022, with a total yield of 277.2 million t,

second only to the United States^[3]. With the development of the deep processing industry, maize has the same economic attributes as ore and crude oil^[4], and is an important economic crop and industrial resource^[5,6], intimately linked with economic development^[7]. Nitrogen (N) plays a dual role as a crucial component influencing the synthesis of proteins, nucleic acids, and chlorophyll in plants^[8]. Furthermore, it directly impacts the physiological and biochemical processes of plants^[9-11]. During the growth of maize, N deficiency will lead to leaf yellowing or withering, weakening photosynthetic capacity, hampering kernel filling rates, decreasing hundred-grain weight, and ultimately lowering maize yields^[12,13]. Therefore, precise and efficient real-time monitoring of maize N's nutritional status is imperative for ensuring normal growth and development of maize and achieving increased and stable yields^[14]. Leaf nitrogen content (LNC) is defined as the proportion of total N content in leaves to leaf dry weight, and stands as a crucial indicator reflecting the N nutritional status of crop leaves^[15]. However, LNC cannot represent the N nutritional level of the entire plant. Leaf nitrogen accumulation (LNA) as the product of LNC and leaf dry weight^[16]. Tan et al.^[17] found that LNA not only reflects the N nutritional status of leaves but also encapsulates vegetation coverage characteristics,

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significantly impacting crop yield and seed protein synthesis. Therefore, LNA distinctly reflects the N nutrition status of the entire plant, with monitoring LNA is also of great significance for precision fertilization^[18]. In current N nutrition studies, crop N status is predominantly characterized by LNC, utilizing its quantification as a diagnostic tool for evaluating crop N nutrition status^[19,22]. However, few studies concurrently select LNC and LNA as monitoring indicators for N nutrition status, comprehensively analyzing the state of plant N nutrition and overall characteristics of plant growth and development, thereby providing a theoretical foundation for the scientific application of N fertilizers and crop management.

Traditional methods for determining crop N content primarily rely on indoor chemical analyses, such as the Kjeldahl method^[23,24]. However, field sampling through these methods causes extensive crop damage, necessitates lengthy analysis time, and involves the use of toxic chemical reagents, leading to a time-consuming, labor-intensive, and environmental pollution process^[25]. The development of modern remote sensing technology offers the possibility of rapid, non-destructive, and real-time monitoring of crop N status at different scales^[26-28]. Studies have shown that remote sensing technology can extract spectral information related to nutrient elements, pigments, and other structural parameters in leaves, thereby estimating different physiological and biochemical indicators of crops^[29,30]. Therefore, the use of remote sensing to monitor crop N relies on the link between leaf spectral characteristics and plant physiological and biochemical properties^[31]. Currently, remote sensing technology mainly includes two categories: satellite remote sensing and unmanned aerial vehicle (UAV) remote sensing. Due to limited revisit cycles and weather conditions, satellite remote sensing has disadvantages such as low spatial resolution and poor data quality^[32,33]. In comparison, UAV remote sensing presents advantages such as lower cost, better timeliness and operability, and higher image resolution^[34-36]. As a result, UAV remote sensing finds widespread application in agricultural fields, including seeding^[37], pest and disease identification^[38,39], crop yield prediction^[40,41], and monitoring crop growth indicators^[42-44]. The sensors installed on UAV platforms primarily include RGB cameras, multispectral cameras, and hyperspectral images. Among these, hyperspectral images have more bands and higher spectral resolution^[45]. This allows them to obtain more precise spectral feature responses, detect subtle changes in ground cover, and exhibit superior performance in monitoring vegetation characteristics^[46,47]. Vegetation Indices (VIs) constructed based on canopy spectral reflectance are widely used to study vegetation growth status. Research has found that models established by VIs were more stable^[48], making them suitable for crop N inversion. Wen et al.^[49] accurately estimated the vertical LNC of maize under different field experimental conditions using the optimized red-edge absorption area index. Guo et al.^[50] indicated that the ratio spectral index (NBDI743, NBDI703) had a high accuracy in predicting LNA in spring wheat. Patel et al.^[51] evaluated the canopy nitrogen concentration of ryegrass using several VIs, with the results showing that the photochemical reflectance index had a superior predictive effect. Chen et al.^[52] found that Medium Resolution Medium Spectrometer (MERIS) Terrestrial Chlorophyll Index (MTCI) and Red Edge Chlorophyll Index ($CI_{red\ edge}$) achieved a higher prediction accuracy of plant nitrogen concentration at the flowering stage of winter wheat. Consequently, the prediction of crop N nutritional status by leveraging the relationship between hyperspectral VIs and crop growth parameters has become a prominent research focus. However, using single VIs to predict N

status may ignore the differences in spectral characteristics of hyperspectral data, failing to express most spectral information, and potentially leading to oversaturation in constructing crop N status monitoring models^[53]. Machine learning regression, with its capability to describe complex relationships between crop parameters and hyperspectral data, has gradually proven advantageous over linear regression^[54,55]. Peng et al.^[56] demonstrated that the Random forest regression (RFR) algorithm outperformed linear regression in predicting the nitrogen nutrition index (NNI) of potatoes. Zhang et al.^[57] successfully employed RFR and XGBoost regression models to predict the aboveground biomass of maize at different growth stages. Ma et al.^[58] utilized multiple VIs to construct a Support Vector Regression (SVR) model for estimating LNC in cotton and achieved favorable prediction results. Yang et al.^[59] combined Optimized Spectral Index with four machine learning algorithms, with the RFR combination had high prediction accuracy for N content in plants such as wheat, maize, rice, and potatoes. In the above studies, most of the research subjects were planted in non-tropical regions, there is a scarcity of research using UAV hyperspectral data for real-time monitoring and inversion of maize N nutrient status in tropical regions.

Hainan is a prominent tropical region in China, known for its unique ecological features characterized by early spring onset, rapid warming, significant diurnal temperature variations, and a frost-free climate throughout the year^[60]. It has special ecological attributes that meet the year-round, multi-generational, or perennial growth of maize, which is the most important ideal base for southern breeding in China^[61]. Therefore, this study took maize in Hainan area as the research object and used LNC and LNA as indicators to characterize the N nutrition status of maize. Typical VIs were constructed using UAV hyperspectral images, and the study analyzed the model accuracy between single VIs and maize leaf N. Multivariable linear regression (MLR), RFR algorithm, and SVR algorithm were used to construct prediction models between important VIs and N indicators. The model with the highest prediction accuracy was applied to invert the LNC and LNA of maize in the study area. The goal is to realize remote sensing estimation of leaf N nutrition status for maize propagated in the southern region. This aims to provide a rapid and effective technical method for non-destructive monitoring of N, assessing the critical stages of maize growth, and making informed decisions about field fertilizer. The ultimate objective is to ensure an ample nitrogen supply, promote maize growth, and achieve stable and increased yields.

2 Materials and methods

2.1 Experimental design

The experiment was conducted at the South Propagation Maize Breeding Base in Laopo Village, Ledong County, Hainan Province, China (18°26'33"N, 108°57'26"E) in January 2022 (Figure 1a). Situated in the southwest of Hainan Island, Ledong County experiences a tropical monsoon climate with sufficient light and distinct dry and wet seasons. The predominant soil type is latosol. The average annual temperature, sunlight hours, and precipitation are 23°C-25°C, 2100-2600 h, and 1400-1800 mm, respectively. The seasonal distribution of precipitation is uneven, with 86% of the annual precipitation concentrated in the wet season from May to October.

Field measurements were conducted to obtain hyperspectral reflectance and LNC data of maize. A total of 76, 2 m×2 m, sampling units were selected and evenly distributed in 2 sampling plots that represented the growth characteristics of maize at the

filling stage. The sampling units were randomly divided into two datasets in the ratio of 8:2, with 60 units used for model simulation and 16 units for model validation (Figure 1b). The soil physical and chemical properties in Plot 1 and Plot 2 are listed in Table 1. Besides, the planting density in Plot 1 was 6 plants/m², and the planting density in Plot 2 was 7 plants/m². The screened 13 important VIs were used as inputs, while LNC and LNA of maize were used as outputs to construct MLR, RFR, and SVR models, respectively. Subsequently, precision tests were conducted to assess the accuracy of the models.

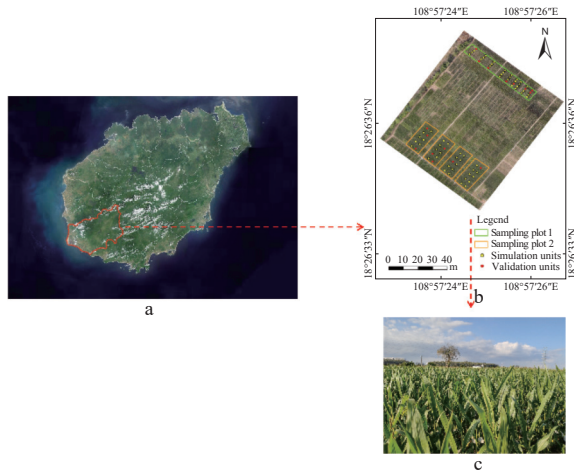


Figure 1 Location of the experimental site and distribution of sampling units

Table 1 Soil physical and chemical properties at sampling plots

Sampling plots	Soil textures	Particle composition/%			Total N content/g·kg ⁻¹	
		Clay (<0.002 mm)	Silt (0.002-0.020 mm)	Sand (0.02-2 mm)	Depth (0-20 cm)	Depth (20-40 cm)
Plot 1	Heavy clay	67.21	20.93	11.83	2.75	2.32
Plot 2	Loamy clay	44.13	33.05	22.82	2.35	1.98

2.1.1 Data collection

1) Hyperspectral reflectance measurement

The leaf hyperspectral reflectance of maize at the filling stage was measured using the Jingwei M300 RTK quadcopter UAV equipped with the ULTRIS X20 Plus hyperspectral imager on January 15, 2022, from 11:00 AM to 1:00 PM (Beijing local time) under clear and cloudless weather conditions. The UAV was set to fly at a height of 40 m, with a speed of 5 m/s. The shooting mode was timed shooting, with a shortest interval of 2 s between image acquisitions. Both forward and side overlaps were set to 75%. The wavelength range of the hyperspectral imager was 350-1000 nm, the spatial resolution was 1.5 cm/pixel, and the sampling interval was 4 nm. A total of 164 bands of leaf spectral reflectance data were collected. The instrument was accurately calibrated using a whiteboard before measuring each sampling unit.

2) Determination of leaf N indicator

Maize leaf samples were collected on January 16 and 17, 2022. After the leaf hyperspectral reflectance data were recorded, four representative maize plants near the locations of the spectral measurements were randomly selected in each of the 76 sampling units. Subsequently, maize leaves were collected and quickly transported to the laboratory to determine LNC (%). All green leaves were cleaned and oven-dried at 105°C for 30 min, and then dried at 80°C for 8 h until a constant weight was achieved. After crushing, the Kjeldahl method was used to measure the N content of

the leaves. Thereafter, the LNA was calculated as the product of LNC and unit leaf dry weight. The statistics for the measured LNC and LNA of maize are listed in Table 2.

$$\text{LNA} = \text{LNC} \times \text{drymatter} \quad (1)$$

where, LNA is the leaf nitrogen accumulation, g/m²; LNC is the leaf nitrogen content, %; dry matter is the unit leaf dry weight, g/m².

Table 2 Descriptive statistics of measured maize LNC (%) and LNA (g/m²) for the simulation and validation datasets at the filling stage

Dataset	Nitrogen indicators	N	Max	Min	Mean	SD	CV
Simulation dataset	LNC	60	2.50	0.77	1.78	0.36	0.20
	LNA		3.39	0.81	1.92	0.58	0.30
Validation dataset	LNC	16	2.26	0.85	1.72	0.37	0.21
	LNA		2.72	0.86	1.84	0.50	0.27

Note: Max, maximum; Min, minimum; SD, standard deviation; CV, coefficient of variation.

2.2 Hyperspectral VIs and data analysis

The hyperspectral VIs commonly used for estimating crop N status are listed in Table 3. The correlation and regression analyses

Table 3 Published hyperspectral VIs evaluated in this study

Vegetation Index	Equation	Reference
Simple ratio (SR) 1	R810/R560	[62]
SR 2	R750/R710	[63]
Ratio Vegetation Index (RVI) 1	R800/R670	[64]
RVI 2	R810/R720	[65]
Normalized Difference Vegetation Index (NDVI)	(R800-R680)/(R800+R680)	[66]
Green Normalized Difference Vegetation Index (GNDVI)	(R800-R550)/(R800+R550)	[67]
Normalized Difference Red Edge Index (NDRE)	(R790-R720)/(R790+R720)	[68]
Enhanced Vegetation Index 2 (EVI 2)	2.5×(R800-R680)/(1+R800+2.4R680)	[69]
Green Chlorophyll Index (CI _{green})	(R780/R550)-1	[70]
Red Edge Chlorophyll Index (CI _{red edge})	(R780/R710)-1	[70]
MERIS Terrestrial Chlorophyll Index (MTCI)	(R750-R710)/(R710-R680)	[71]
Modified Red-Edge Normalized Difference Vegetation Index (mND705)	(R750-R705)/(R750+R705-2R445)	[72]
Optimized Soil-adjusted Vegetation Index (OSAVI)	1.16×(R800-R670)/(R800+R670+0.16)	[73]
Modified Chlorophyll Absorption Ratio Index (MCARI)	1.2×[120×(R780-R550)-200×(R800-R550)]	[74]
Transformed Vegetation Index (TVI)	0.5×[120×(R780-R550)-200×(R670-R550)]	[75]
Modified Simple Ratio (MSR)	(R800/R670-1)/sqrt(R800/R670+1)	[76]
Modified Chlorophyll Absorption Vegetation Index (MCAVI)	0.2×[2R800+1-sqrt(2R800+1)×2-8×(R800-R670)]	[77]
Soil Adjusted Vegetation Index (SAVI) 1	1.5×(R800-R670)/(R80+R670+0.5)	[78]
SAVI 2	0.92×(R825-R735)/(R825+R735-0.08)	[78]
Normalized Difference Chlorophyll (NDchl)	(R925-R710)/(R925+R710)	[79]
Normalized Difference Spectral Index (NDSI)	(R788-R756)/(R788+R746)	[80]
Modified Red Edge Ratio (mRER)	(R759-1.8R419)/(R742-1.8R419)	[81]
Red Edge Position (REP)	700+40×[(R670+R780)/2-R700]/(R740-R700)	[82]
New Double Difference Index	2R710-R660-R760	[83]

Note: R810 denotes the reflectance at the wavelength of 810 nm in the hyperspectral data, and the same others.

were conducted using SPSS 27.0 software, heat map was conducted with Origin 2021 software. The orthogonal partial least squares-discriminant analysis was processed with SIMCA 14.1 software, and the variable importance in projection (VIP) was calculated. Subsequently, important VIs were screened with $VIP > 1$. The MLR, RFR, and SVR were performed using MATLAB 7.0 software (The MathWorks, Inc., Natick, MA, USA). Model accuracy was assessed using the coefficient of determination (R^2), root mean squared error (RMSE), and relative error (RE, %), as defined by Equations (2)-(4), respectively. A higher R^2 and smaller RMSE and RE indicated better model precision in predicting LNC and LNA.

$$R^2 = 1 - \frac{\sum_{i=1}^n (O_i - P_i)^2}{\sum_{i=1}^n (O_i - \bar{y})^2} \quad (2)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (P_i - O_i)^2} \quad (3)$$

$$RE (\%) = \frac{RMSE}{\bar{y}} \times 100\% \quad (4)$$

where, O_i , P_i , and \bar{y} are the measured values, the predicted values, and the average of the measured values, respectively; n is the number of samples.

3 Results

3.1 Relationship between VIs and LNC, LNA

As listed in Table 4, the 24 hyperspectral VIs were analyzed by linear regression with LNC and LNA, and the corresponding prediction models were established, and then the samples from the

Table 4 Quantitative relationships of VIs to LNC and LNA in maize

Spectral index	LNC			LNA		
	R^2	RMSE	RE	R^2	RMSE	RE
SR 1	0.71	0.21	12.21%	0.69	0.30	16.45%
SR 2	0.68	0.21	12.46%	0.66	0.32	17.23%
RVI 1	0.65	0.22	12.94%	0.62	0.33	17.94%
RVI 2	0.69	0.21	12.37%	0.68	0.31	16.70%
NDVI	0.63	0.23	13.27%	0.66	0.30	16.56%
GNDVI	0.67	0.22	12.64%	0.71	0.29	15.83%
NDRE	0.70	0.21	12.23%	0.71	0.29	15.78%
EVI 2	0.61	0.23	13.07%	0.59	0.33	18.16%
CI_{green}	0.68	0.21	12.40%	0.67	0.31	16.90%
$CI_{red\ edge}$	0.66	0.22	12.66%	0.65	0.32	17.53%
MTCI	0.66	0.22	12.89%	0.65	0.32	17.17%
mND ₇₀₅	0.68	0.22	12.47%	0.70	0.29	15.89%
OSAVI	0.63	0.22	12.87%	0.63	0.31	17.09%
MCARI	0.61	0.22	12.97%	0.57	0.34	18.62%
TVI	0.55	0.24	13.91%	0.52	0.36	19.43%
MSR	0.65	0.22	12.82%	0.65	0.32	17.45%
MCAVI	0.57	0.23	13.62%	0.53	0.36	19.45%
SAVI 1	0.61	0.22	13.02%	0.60	0.33	17.90%
SAVI 2	0.67	0.23	13.30%	0.69	0.31	16.66%
NDchl	0.72	0.21	12.19%	0.77	0.26	14.34%
NDSI	0.59	0.25	14.32%	0.55	0.37	19.86%
mRER	0.72	0.21	12.14%	0.72	0.29	15.64%
REP	0.65	0.22	13.02%	0.65	0.31	16.91%
DDN	0.65	0.22	12.50%	0.63	0.32	17.47%

validation dataset were applied for validation, The results showed that except for NDVI, GNDVI, NDRE, mND705, SAVI 2, and NDchl, which had higher prediction accuracy for LNC than LNA ($R^2 \geq 0.66$, $RMSE \leq 0.31$, $RE \leq 16.66\%$), the remaining 18 VIs had higher prediction accuracy for LNC ($R^2 \geq 0.55$, $RMSE \leq 0.25$, $RE \leq 14.32\%$), with an average R^2 of 0.02 higher than LNA. For estimating LNC, except for the poor prediction accuracy of TVI, MCAVI, and NDSI for LNC ($R^2 \leq 0.59$, $RMSE \geq 0.23$, $RE \geq 13.62\%$), the remaining 21 VIs had higher prediction accuracy for LNC ($R^2 \geq 0.61$, $RMSE \leq 0.23$, $RE \leq 13.30\%$). For estimating LNC, the 5 highest prediction accuracy VIs were NDchl, mRER, SR 1, NDRE, and RVI 2 ($R^2 \geq 0.69$, $RMSE \leq 0.21$, $RE \leq 12.37\%$). For estimating LNA, the poor prediction accuracy VIs were EVI 2, MCARI, TVI, MCAVI and NDSI ($R^2 \leq 0.59$, $RMSE \geq 0.33$, $RE \geq 18.16\%$), while NDchl, mRER, NDRE, GNDVI and mND705 had higher prediction accuracy for LNA ($R^2 \geq 0.70$, $RMSE \leq 0.29$, $RE \leq 15.89\%$).

3.2 Selection of VIs for evaluating LNC and LNA

In order to predict LNC and LNA of maize more accurately, the orthogonal partial least squares-discriminant analysis was performed on LNC, LNA, and different VIs were calculated VIP values. By comparison, all VIs were classified into 2 categories, important variables ($VIP > 1$), and unimportant variables ($VIP \leq 1$). The results are shown in Figure 2. Among the 24 VIs, the maximum VIP value of MTCI was 1.027, while the minimum VIP value of TVI was 0.950. A total of 11 unimportant variables were screened out, including NDVI, EVI 2, mND705, OSAVI, MCARI, TVI, MCAVI, SAVI 1, SAVI 2, NDchl and NDSI, with VIP values of 0.950-1.000. The remaining 13 VIs, including SR 1, SR 2, RVI 1, RVI 2, GNDVI, NDRE, CI_{green} , $CI_{red\ edge}$, MTCI, MSR, mRER, REP, and DDN were selected as important variables, with VIP values of 1.002-1.027.

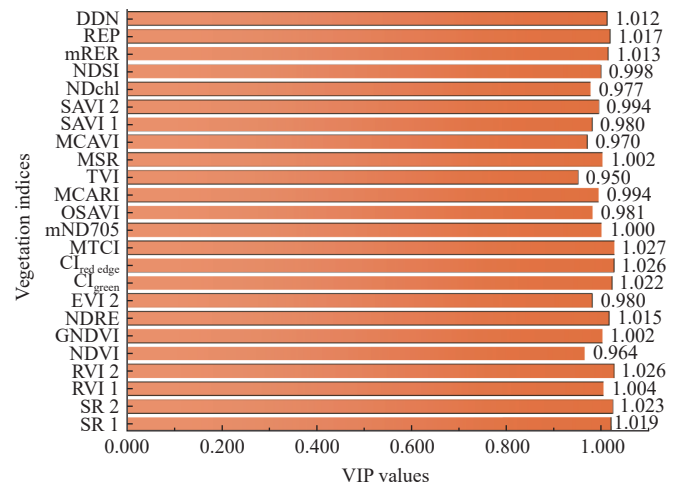


Figure 2 VIP values of 24 VIs

As shown in Figure 3, the correlation analysis was conducted on the 13 important variables and LNC and LNA. The results showed that all VIs had strong correlations with LNC and LNA, with absolute values of their determination coefficients above 0.70, and reached highly significant correlations at the 0.01 level. Compared with LNC, the correlation between the 13 important VIs and LNA was higher, and the absolute values of their determination coefficients were higher than that of LNC by 0.04 on average. Among the 13 important VIs, only DDN showed a significant positive correlation with LNC and LNA ($p < 0.01$), and had the highest correlation with both LNC and LNA, with correlation coefficients of 0.77 and 0.82, respectively. The remaining vegetation indices were all significantly negatively correlated with

LNC and LNA ($p < 0.01$). The 5 VIs with the best correlation with LNC were DDN, SR 2, $CI_{red\ edge}$, RVI 1, and MSR, with absolute determination coefficients of 0.77-0.79, while the 5 VIs with the lowest correlation with LNC were REP, GNDVI, mRER, NDRE, and MTCI, with absolute determination coefficients of 0.73-0.76.

The 5 VIs with the best correlation with LNA were DDN, SR 2, RVI 1, MSR, and $CI_{red\ edge}$, with absolute determination coefficients of 0.82-0.84, while the 5 VIs with the lowest correlation with LNA were REP, mRER, MTCI, GNDVI, and NDRE, with absolute determination coefficients of 0.74-0.79.

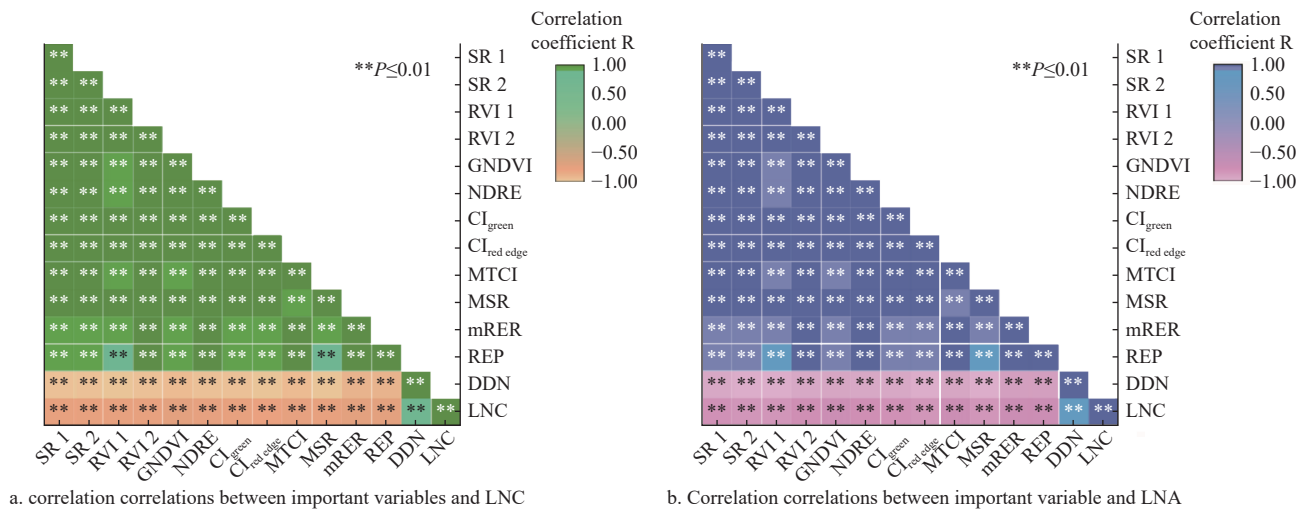


Figure 3 Correlation coefficients between 13 important variables and LNC, LNA in maize

3.3 Comparison and testing of model estimation accuracy

Based on the above-selected important variables, MLR, RFR, and SVR were used to establish the monitoring models for LNC and LNA of maize, respectively. R^2 , RMSE, and RE values were used to compare and analyze the consistency between the predicted and observed values of LNC and LNA in the simulation and validation datasets modeled by different algorithms, and then the accuracy of the monitoring models was evaluated. As shown in Figure 4, compared to the single linear regression model (LNC: $R^2 \geq 0.55$, $RMSE \leq 0.25$, $RE \leq 14.32\%$; LNA: $R^2 \geq 0.52$, $RMSE \leq 0.37$, $RE \leq 19.86\%$), the MLR model did not significantly improve the prediction accuracy of LNC (simulation dataset: $R^2 = 0.70$, $RMSE = 0.19$, $RE = 10.89\%$; validation dataset: $R^2 = 0.59$, $RMSE = 0.24$, $RE = 13.83\%$) and LNA (simulation dataset: $R^2 = 0.77$, $RMSE = 0.28$, $RE = 14.38\%$; validation dataset: $R^2 = 0.53$, $RMSE = 0.38$, $RE = 20.58\%$). Among the 4 different models, the RFR model had the highest prediction accuracy for LNC (simulation dataset: $R^2 = 0.82$, $RMSE = 0.16$, $RE = 8.95\%$; validation dataset: $R^2 = 0.78$, $RMSE = 0.16$, $RE = 8.83\%$) and LNA (simulation dataset: $R^2 = 0.84$, $RMSE = 0.23$, $RE = 12.41\%$; validation dataset: $R^2 = 0.85$, $RMSE = 0.19$, $RE = 9.88\%$). In addition, the SVR model also had good prediction accuracy. For LNC, its R^2 and RMSE values of the validation dataset were the same as the RFR model, but the RE value was 0.42% higher than the RFR model. The R^2 value of the simulation dataset was 0.12 lower than the RFR model, and the RMSE and RE values were 0.04 and 2.52% higher, respectively. For LNA, its prediction accuracy was significantly lower than that of the RFR model (simulation dataset: $R^2 = 0.78$, $RMSE = 0.27$, $RE = 14.02\%$; validation dataset: $R^2 = 0.73$, $RMSE = 0.26$, $RE = 13.89\%$).

3.4 Mapping of LNC and LNA in the study area

The RFR model with the highest prediction accuracy was selected and used 76 sampling units as the basic unit, and then LNC and LNA for each sampling unit were predicted separately, the inversion results are shown in Figure 5. Overall, the changes in LNC and LNA at the sampling unit scale were basically consistent. The predicted values of LNA in the study area were all higher than the predicted values of LNC. The minimum predicted value of LNC

was 1.23%, the maximum was 2.17%, and the average value was 1.76%. The minimum predicted value of LNA was 1.24 g/m², the maximum was 2.72 g/m², and the average value was 1.89 g/m². In addition, the soil of sampling Plot 1 was heavy clay, while sampling Plot 2 was loamy clay, and the soil total N content in 0-20 cm and 20-40 cm layers was higher than that in sampling Plot 2 (Table 1). Besides, the planting density of maize in sampling Plot 1 was lower than that in sampling Plot 2. The results of the study showed that the predicted values of LNC and LNA in sampling Plot 1 were higher than that in sampling Plot 2.

4 Discussion

4.1 Relationship between VIs and LNC and LNA

The estimation of maize LNC and LNA based on linear regression is primarily constructed through a simple empirical regression model from VIs in narrow hyperspectral bands^[84]. In this study, 24 commonly used VIs were used to establish predictive models for maize LNC and LNA. Table 4 presents the prediction accuracy of single VIs for LNC and LNA, with most VIs having R^2 values above 0.60. This indicates that VIs composed of narrow bands of reflectance can mitigate interference caused by soil, weather, and other factors, thereby enhancing the accuracy of crop parameter estimation^[52]. Song et al.^[85] found that NDRE, mRER, and NDchl had high accuracy in predicting N accumulation during the flowering stage of wheat ($R^2 \geq 0.75$, $RMSE \leq 0.32$). In this study, NDRE, mRER, and NDchl not only had the highest prediction accuracy for maize LNA ($R^2 \geq 0.71$, $RMSE \leq 0.29$, $RE \leq 15.78\%$), but also had high accuracy for LNC ($R^2 \geq 0.70$, $RMSE \leq 0.21$, $RE \leq 12.23\%$). This suggests that NDRE, mRER, and NDchl can be effective VIs for estimating crop N status. The REP is believed to partially eliminate effects caused by canopy structure and soil background^[86-88]. Ramos-García et al.^[89] demonstrated that REP is suitable for monitoring the N nutrition status of maize leaves during the eighth leaf stage ($R^2 = 0.46$) and silking stage ($R^2 = 0.54$). In this study, REP had higher predictive accuracy for LNC and LNA ($R^2 = 0.65$) during the filling stage of maize, indicating that REP can be a suitable indicator for estimating the N nutrition status of maize

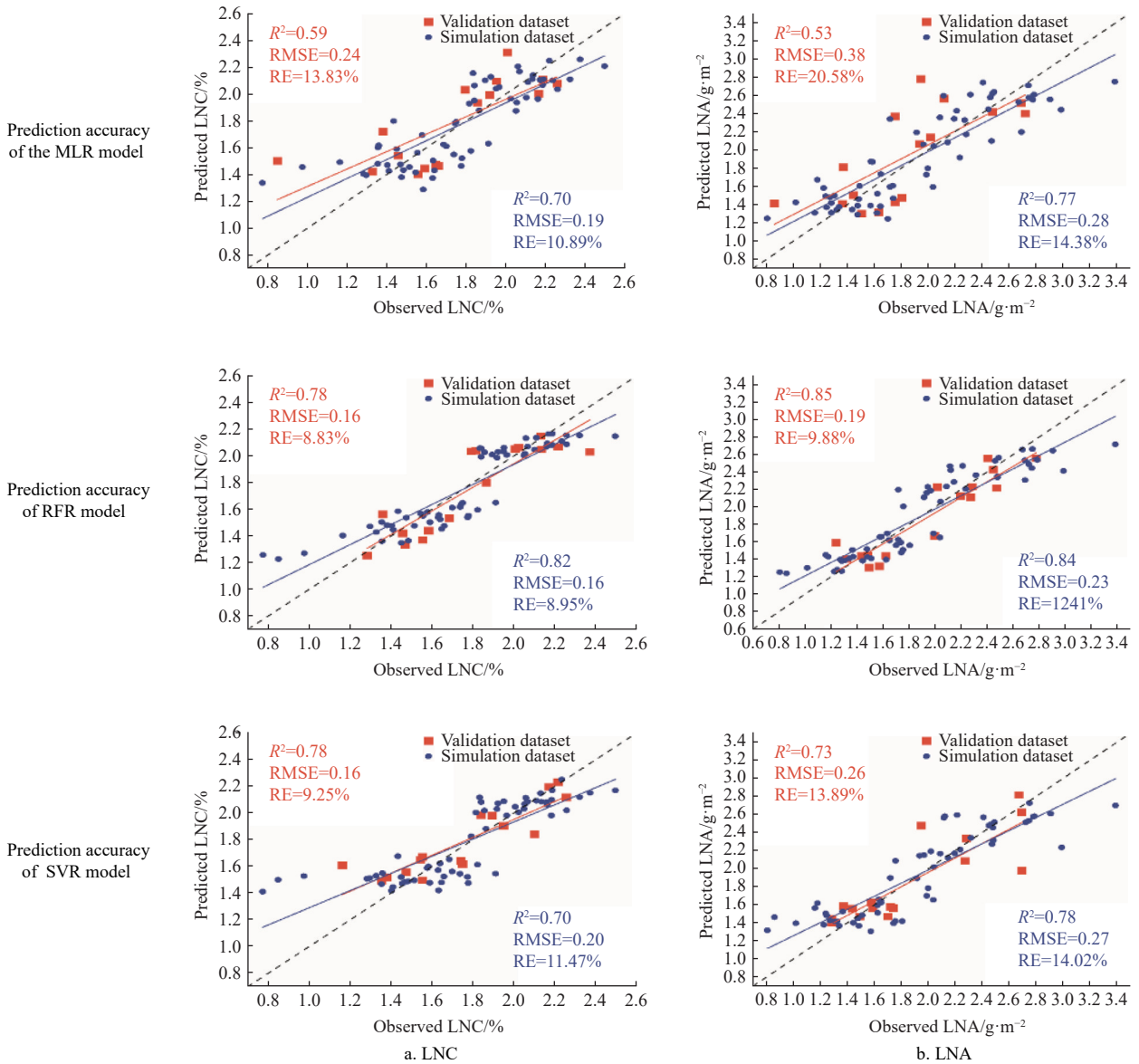


Figure 4 Comparison of accuracy of different prediction models for LNC and LNA in maize

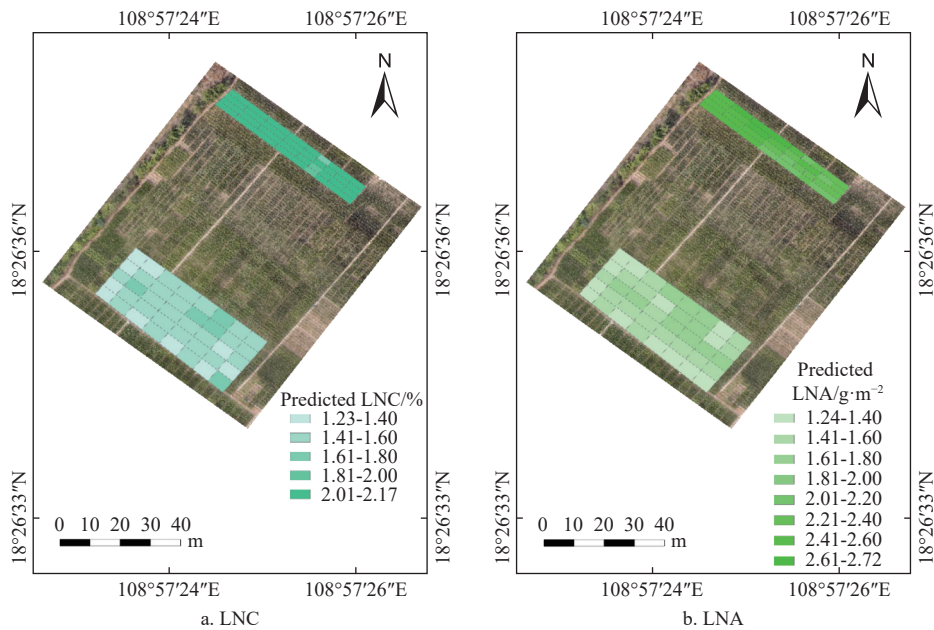


Figure 5 Mapping of LNC and LNA in maize based on the optimal estimation model

at different growth stages. Additionally, due to the increase in leaf density and decrease in leaf transparency during the filling stage, REP is more sensitive to changes in leaf structure^[90,91], resulting in higher prediction accuracy for the N nutrition status of maize. Numerous studies have shown that TVI is often used to estimate the leaf area index of crops^[92-94] and has achieved good prediction accuracy ($R^2=0.53-0.96$). However, in this study, TVI failed to exhibit high prediction accuracy for LNC ($R^2=0.55$, RMSE=0.24, RE=13.91%) and LNA ($R^2=0.52$, RMSE=0.36, RE=19.43%). The reason may be that TVI has a weak ability to monitor changes in factors affecting N content, such as canopy structure and functional traits^[95], and its inability to directly reflect the N status in leaves, resulting in poorer prediction accuracy. In this study, MCAVI had poor prediction accuracy for LNC ($R^2=0.57$, RMSE=0.23, RE=13.62%) and LNA ($R^2=0.53$, RMSE=0.36, RE=19.45%). Studies have shown that the canopy spectral reflectance of crops is determined by the optical properties of leaves and the surrounding environment^[96,97]. The cellular structure, moisture, and leaf thickness inside maize leaves can cause light scattering and cross-reflection within the leaves^[98,99], affecting the accuracy of MCAVI in predicting leaf N and resulting in poor predictions. NDVI as a vegetation index highly correlated with crop N content^[100-102], has been widely used in monitoring crop N status^[103-106]. Ye et al.^[107] used 12 VIs to estimate leaf N density of maize with different geometrical traits at different growth stages, among which NDVI had the highest accuracy in predicting the upper leaves of horizontal maize ($R^2=0.83$) and intermediate maize ($R^2=0.57$) during the period of an unclosed canopy. However, in this study, NDVI, and NDSI did not exhibit high prediction accuracy for LNC ($R^2\leq 0.63$, RMSE ≥ 0.23 , RE $\geq 13.27\%$) and LNA ($R^2\leq 0.66$, RMSE ≥ 0.30 , RE $\geq 16.56\%$). This discrepancy may be attributed to the sensitivity of these types of VIs to changes in soil background, which are easily influenced by factors such as wavelength, vegetation coverage, light intensity, and soil characteristics like water content, organic matter content, and surface roughness^[108]. They only consider the spectral reflectance characteristics of vegetation and do not account for the comprehensive impact of these factors, resulting in low prediction accuracy for maize leaf N. To reduce the influence of non-photosynthetic substances in the canopy on reflectance spectra, Sims and Gamon^[109] developed a three-band vegetation index, mND705. In this study, the predictive performance of mND705 for both LNC and LNA showed improvement compared to NDSI, with an increase in R^2 values by 0.09 and 0.15, RMSE and RE values decreased by 0.03, 0.08 and 1.85%, 3.97%, respectively. This proves that the three-band vegetation index contains more detailed vegetation information, enhances the sensitivity of crop physiological and biochemical indicators, reduces the influence of external environmental conditions, and consequently improves the prediction accuracy of crop parameters^[110].

4.2 The best model for monitoring LNC and LNA

In this study, the single vegetation index-based estimation model for LNC and LNA demonstrated good accuracy. However, models constructed by single VIs are susceptible to saturation^[111] and have limited sensitivity to changes in crop internal and canopy structure^[112]. In order to enhance sensitivity to parameters like LNC and LNA, this study calculated VIP values and screened 13 important VIs with VIP>1 (Figure 2). Correlation analysis was then conducted on these 13 VIs with LNC and LNA, respectively (Figure 3). The results revealed that only DDN was significantly positively correlated with LNC ($r=0.79$) and LNA ($r=0.84$), aligning with previous research findings^[113]. Fan et al.^[114] reported

higher correlation coefficients between VIs and N indexes involving the red band and the red-edge band, with absolute values of their correlation coefficients all above 0.80. Additionally, REP was significantly negatively correlated with LNC ($r=-0.73$) and LNA ($r=-0.74$), primarily due to the close correlation between N and chlorophyll content in crops^[115-117]. Machine learning regression has gained widespread use in estimating crop physiological and biochemical indicators, demonstrating promising potential^[118-123]. In this study, 13 important VIs served as input variables for the quantitative estimation of maize LNC and LNA from hyperspectral images, using single linear regression, multivariable linear regression, and machine learning regression. Figure 4 illustrates the predictive performances of the MLR, RFR, and SVR models for maize LNC and LNA. The results indicated that compared to single linear regression models, multivariable linear regression did not significantly improve the prediction accuracy of both LNC and LNA, consistent with previous research results^[52]. This is because MLR cannot deal with problems such as multicollinearity in spectral data and is only suitable for solving some linear regression problems, resulting in lower model accuracy^[124,125]. In contrast, the machine learning-based RFR model and SVR model have higher prediction accuracy for maize LNC and LNA. Wang et al.^[126] demonstrated that RFR ($R^2=0.63-0.74$) and SVMR ($R^2=0.59-0.70$) models have higher prediction accuracy for rice leaf area index, aligning with the conclusion that SVMR and RFR models can provide better prediction results in this study. Fu et al.^[102] emphasized that complexity and nonlinearity characterize the relationship between hyperspectral reflectance and crop N status. Additionally, there are often significant nonlinear relationships between VIs and crop parameters. Therefore, machine learning models are better suited for capturing the nonlinear relationships between various VIs and crop parameters^[127]. Consequently, both machine learning algorithms in this study demonstrated superior prediction performance. The RFR algorithm is based on numerous decision trees and nonlinear regression trained on high dimensional data to achieve calculations on extensive datasets^[128,129]. It exhibits low sensitivity to data bias^[130], can model complex variables through interactions to prevent overfitting^[131], and is widely used in various remote sensing based analyses due to its robustness, stability, high predictability, fast training speed and ease of implementation^[132,133]. Shah et al.^[134] showed that compared to MLR ($R^2=0.86$, RMSE=6.04), the use of RFR to predict wheat chlorophyll content resulted in an increased R^2 and decreased RMSE ($R^2=0.95$, RMSE=3.71). Qiu et al.^[135] compared the predictive performance of different machine learning methods on the NNI of rice, and reported that the RFR algorithm had the highest accuracy in predicting the NNI at each growth stage ($R^2=0.88-0.96$, RMSE=0.03-0.07). Sun et al.^[136] showed that in predicting the canopy chlorophyll content of wheat and soybeans, RFR ($R^2=0.69-0.99$) outperformed univariate linear regression ($R^2=0.69-0.90$) and bivariate linear regression ($R^2=0.69-0.82$) models. Consistent with previous studies^[137-139], the results of this study also indicated that the RFR model had the highest prediction accuracy for LNC and LNA, highlighting the RFR algorithm as the preferred method for predicting LNC and LNA in maize.

4.3 Inversion of N status in maize leaves in the study area

Leaves are a key part of plant photosynthesis, and N in leaves serves as a vital parameter for enhancing light use efficiency, photosynthesis rate, and crop productivity^[140,141]. The estimation of N status in the late stages of crop growth holds great significance for predicting maize grain yield and quality, as well as prospects for the

following year's crops^[142]. The RFR model was employed to invert the LNC and LNA of maize in the study area (Figure 5), and the results showed that the LNC value at the filling stage was 1.23%-2.17%, and the LNA value was 1.24 g/m²-2.72 g/m². Wen et al.^[143] also showed that the LNC in maize at the reproductive growth stages (silking stage and milk stage were 0.73%-2.06%, 0.49%-2.21%, respectively) were maintained at a lower level compared to the LNC at the vegetative growth stages (V9 stage and VT stage were 1.10%-4.07%, 1.10%-2.72%, respectively). This is in agreement with the results of this study, indicating that different growth stages have an impact on N changes in maize leaves. The phenomenon might be attributed to the abundance of N content in nutrient tissues during the vegetative growth stages. In contrast, during the reproductive growth stages (filling stage in this paper), owing to grain formation, N in the leaves is transferred to the grains, maintaining a high level of N nutrition in the grains to ensure normal maize fruiting^[144-146]. Moreover, N in leaves during the filling stage may be lost from plant tissues in the form of NH₃, resulting in a reduction in leaf N nutrition, so that the LNC and LNA in maize are maintained at a low level. Lu et al.^[147] showed that the LNC of maize during the filling stage was 1.45%-2.38%, which was higher than the LNC of this study. As the most direct source of crop growth and nutrients, changes in soil nutrient status directly impact the nutrient content of crops^[148]. Given that this study was conducted in a tropical region, the high temperature and humidity conditions led to strong soil leaching, resulting in substantial nutrient loss from the soil^[149]. This contributed to the low N content observed in maize leaves. Additionally, the soil in the Hainan Island region has an obvious acidification phenomenon^[150], which to some extent inhibits microbial activities, hampers the decomposition of organic matter, and slows down the release rate of soil nutrients. This may affect the absorption and utilization of N in the soil by plants. The inversion results of this study showed that the maize LNC and LNA were higher in sampling Plot 1 than in sampling Plot 2, potentially influenced by the different physical and chemical properties of the soil in the two plots. As shown in Table 1, Plot 1 was heavy clay soil, while Plot 2 was loamy clay soil. Numerous studies emphasized that soil texture, as a fundamental physical soil property, not only dictates soil fertility but also significantly influences crop growth and N status^[151-153]. Heavy clay, with a higher proportion of clay particles compared to loamy clay, possesses robust adsorption and water and fertilizer retention characteristics, fostering favorable conditions for crop growth and nutrient absorption. The content of N in the soil also plays a pivotal role in plant growth, with higher total N content in the soil providing a more favorable environment for sufficient N supply to plants, thereby promoting N accumulation in leaves. In this study, the total N content in both 0-20 cm and 20-40 cm soil layers indicated that Plot 1 was higher than Plot 2. Consequently, the inversion results demonstrated that the LNC and LNA of maize in Plot 1 were higher than those in Plot 2. In addition, planting density emerges as another critical factor influencing maize growth and development. Numerous studies have shown that high planting density can diminish canopy light transmittance, and intensify resource competition among individual maize plants, thereby affecting photosynthesis, nutrient accumulation and distribution, and restricting overall crop growth and development^[154,155]. In this study, the higher planting density in Plot 2 (7 plants/m²) compared to Plot 1 (6 plants/m²) may have led to inadequate nutrient supply and soil nutrient depletion, resulting in a lack of N in maize leaves. Consequently, the LNC and LNA of maize in Plot 2 were lower

than those in Plot 1.

In this study, the experimental focus was solely on maize at the filling stage, and it is acknowledged that different growth stages can significantly influence the relationship between hyperspectral VIs, LNC, and LNA. Nevertheless, employing a combination of 13 important VIs, this study successfully utilized the RFR algorithm and the SVR algorithm to accurately estimate LNC and LNA, achieving high prediction accuracy. The results of this study lay a foundation for non-destructive and real-time monitoring of N content and fertilization management in the propagation of southern maize in Hainan. Future endeavors will contemplate conducting remote sensing quantitative inversion of LNC and LNA in maize using UAV hyperspectral under different growth stages and N application conditions. The VIs and monitoring models applied in this study will be further tested and improved across a wider range of conditions to enhance the accuracy and reliability of the monitoring models.

5 Conclusions

In this study, UAV hyperspectral remote sensing technology was employed to establish prediction models for LNC and LNA during the filling stage of maize in tropical regions. Single linear regression, multivariable linear regression (MLR), and machine learning regression (RFR and SVR) were employed. The results of single linear regression showed that the prediction performances of 24 VIs for LNC and LNA were relatively stable, with NDchl having the highest predictive accuracy for LNC (R^2 , RMSE, and RE were 0.72, 0.21, and 12.19%, respectively) and LNA (R^2 , RMSE, and RE were 0.77, 0.26 and 14.34%, respectively). To enhance the sensitivity in predicting LNC and LNA, 24 VIs were divided into 13 important VIs and 11 unimportant VIs, and 13 screened important VIs were used as input values. The results showed that RFR and SVR significantly improved prediction accuracy of LNC and LNA compared to single and multivariable linear regression, in which RFR had the highest prediction accuracy for LNC (simulation dataset: $R^2=0.82$, RMSE=0.16, RE=8.95%; validation dataset: $R^2=0.78$, RMSE=0.16, RE=8.83%) and LNA (simulation dataset: $R^2=0.84$, RMSE=0.23, RE=12.41%; validation dataset: $R^2=0.85$, RMSE=0.19, RE=9.88%). Therefore, based on the hyperspectral reflectance data from UAV, the RFR model enabled effective estimation of maize LNC and LNA. This approach is applicable in precision agriculture, providing a theoretical basis and technical methodology for real-time monitoring of maize N status in the field and achieving scientific fertilization.

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